



## Assessment

Report for:

# Thai Beverage Public Company Limited (ThaiBev)

<b>LRQA reference:</b>	BGK00000959
<b>Assessment dates:</b>	6-7 June 2024
<b>Assessment location:</b>	ThaiBev Head Office
<b>Assessment criteria:</b>	ISO31000:2018 and CSA Hanbook (April 2024)
<b>Assessment team:</b>	Ms. Phornsuang Markmanee and Mr. Opart Charuratana
<b>LRQA Client Facing Office:</b>	LRQA Office

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<b>Attachments</b>
None

<b>This report was presented to and accepted by:</b>
<b>Name:</b>
<b>Job Title:</b>



## 1. Executive report

### Assessment outcome:

- ThaiBev has established and implement risk management for the business and ESG impacts inline with ISO31000:2018, together with CSA handbook (April 2024) – per evidence demonstrated during 1 October 2022 – 30 September 2023

### Areas for senior management attention:

- ThaiBev should review and improve competency criteria for person who perform internal risk assessment
- ThaiBev should establish objective and target (Business goals) related to risk management process



## 2. Verification summary

### Visit objective

LRQA has performed third party assessment for ThaiBev, using ISO31000:2018, together with CSA handbook – April 2024 as assessment criteria, to made public claim regarding the establishment and implementation of risk assessment process in the organization.

### Introduction

This assessment be conducted remotely with support evidence form ThaiBev to enable assessor understanding and evaluation the process design and degree of implementation of risk management in the period of 1 October 2022 - 30 September 2023.

### Grading of Findings

The following definitions apply to the grading of findings in this report:

<b>Major non-conformity</b>	The absence of, or the failure to implement and maintain, one or more management system elements, or a situation which would, on the basis of the available objective evidence, raise significant doubt of the management to achieve: <ul style="list-style-type: none"><li>▪ <i>the policy, objectives or public commitments of the organisation</i></li><li>▪ <i>compliance with the applicable regulatory requirements</i></li><li>▪ <i>conformance to applicable customer requirements</i></li><li>▪ <i>conformance with the audit criteria deliverables.</i></li></ul>
<b>Minor non-conformity</b>	A finding indicative of a weakness in the implemented and maintained system, which has not significantly impacted on the capability of the management system or put at risk the system deliverables, but needs to be addressed to assure the future capability of the system.
<b>Opportunity for Improvement (OFI)</b>	An opportunity for improvement is a suggestion from the verifier to improve the operator's performance in monitoring and reporting.



### 3. Findings Log

1. Grading of the finding *	2. New, Open, Closed	3. Description of the LRQA finding	4. Review by LRQA	5. Process, aspect, department or theme
6. Date of the finding	7. YYMM<Initials>seq.#	8. Clause of the applicable standard		
* MIS = Misstatement    MMIS = Material Misstatement    NCN = Nonconformity    MNCN = Material Nonconformity    OFI = Opportunity for Improvement    xLRQA = LRQA Follow Up				

Grade 1	Status 2	Finding 3	Correction, root cause & corrective action review 4	Process / aspect 5	Date 6	Reference 7	Clause 8
OFI	New	ThaiBev should review and improve competency criteria for person who perform internal risk assessment			6 June 24	2406OCZ01	
OFI	New	ThaiBev should establish objective and target (Business goals) related to risk management process			6 June 24	2406OCZ02	



<b>Verifier:</b>	Ms. Phornsuang Markmanee and Opart Charuratana
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<b>Verification of:</b>	Policy and procedure	<b>Auditee(s):</b>	ThaiBev Risk management team
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<p><b>Audit trails and sources of evidence:</b></p> <p>Discussion of potential business and ESG impact topics as refer to ThaiBev          Communication of risk management policy          Risk assessment procedure, tools and criteria</p> <p><b>Evaluation and conclusions:</b></p> <p>Risk management policy be established, communicated and deployed through out the organization. Risk assessment process also be established and implemented using input form the organisation’s context, engagement with stakeholder and analysis.</p> <p>Suggest reinforcing competency of risk evaluator.</p>
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<b>Verification of:</b>	Risk identification and mitigation	<b>Auditee(s):</b>	ThaiBev Risk management team
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<p><b>Audit trails and sources of evidence:</b></p> <p>ThaiBev Risk management process – 2023          Significant risk identifications – Business and ESG</p> <p><b>Evaluation and conclusions:</b></p> <p>Suggest establishing organisation’s goal to risk assessment process, as part of process monitoring.</p> <p>The significant risks be identified included business and ESG related.</p>
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#### 4. Assessment plan

<b>Assessment type :</b> <b>Third party audit</b>	<b>Assessment criteria</b> - ISO31000:2018 - CSA handbook (April 2024)
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<b>Verification team</b> <b>Phornsuang Markmanee and Opart Charuratana</b>	<b>Verification dates</b> <b>6-7 June 2024</b>	<b>Issue date</b> <b>2 May 2024</b>
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(6 June 2024)	
9.00-9.15	Introductory meeting with management to explain the scope of the visit, assessment methodology, method of reporting and to discuss the company's organisation (approximately 30 minutes).
9.15	Discussion of potential business and ESG impact topics as refer to ThaiBev  Communication of risk management policy Risk assessment procedure, tools and criteria  Competency of risk assessment team Objective and target (goal) Action taken to mitigate risk
	Review of day's findings & Report Writing / Closing meeting
16.00	End of audit